

ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF: )  
 )  
Kevin Seth Baltimore (CRD #1863517), )  
 )  
 )  
 )  
Respondent. )

CONSENT ORDER

File Number 11016

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division"), after receiving information and pursuant to authority granted in the South Carolina Uniform Securities Act of 2005, S.C. Code Ann. § 35-1-10 *et. seq.* (Supp. 2011) (the "Act"), initiated an investigation into certain activities of Kevin Seth Baltimore ("Baltimore" or the "Respondent") involving the recommendation, offer, and sale of securities in and from the State of South Carolina;

WHEREAS, in connection with its investigation, the Division determined certain acts of the Respondent constituted violations of the Act, and the Division instituted a proceeding entitled "Notice of Intent to Impose a Permanent Bar" (hereinafter the "Notice of Intent") for these alleged violations;

WHEREAS, the Respondent wishes to resolve the above Notice of Intent by Consent Order rather than by a formal hearing before the Securities Commissioner (the "Commissioner");

WHEREAS, the Respondent, without admitting or denying the allegations of the Notice of Intent filed by the Division in this matter agrees to the investor protections and remedies set forth below;

NOW, THEREFORE, IT IS HEREBY ORDERED, and the Respondent expressly consents and agrees that:

- A. Effective immediately, the Respondent is permanently barred from engaging in any aspect of the securities business in or from the State of South Carolina; and
- B. Respondent is fined in the amount of five thousand dollars (\$5,000.00) as a penalty which is to be paid as follows: Upon the Commissioner's execution of this Order, the Respondent shall immediately pay one thousand dollars (\$1,000.00) of the penalty amount; thereafter, Respondent shall pay the remainder of the penalty imposed in two equal payments of two thousand dollars (\$2,000.00) each to be paid within thirty and sixty days of execution of this Order, respectively. Failure to make any agreed upon payment will constitute a violation of this Order.

Upon execution by the Commissioner, this Order resolves Administrative Proceeding 11016 as it relates to the Respondent. This Order should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to investors as a result of the Respondent's participation in the activities described herein, or (iii) other causes of action which may result from activities of the Respondent not detailed above or which may hereafter arise.

IT IS SO ORDERED this 20<sup>th</sup> day of October, 2011.

By: Alan Wilson  
Alan Wilson  
Securities Commissioner  
State of South Carolina

WE CONSENT:

Securities Division of the Office of the Attorney General

By: Tracy Meyers  
Tracy A. Meyers  
Senior Assistant Attorney General  
Securities Division

Date: October 14, 2011

Kevin Seth Baltimore, Respondent

By: Kevin Seth Baltimore

Date: \_\_\_\_\_