

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)	
)	
)	REINSTATEMENT OF
)	BROKER DEALER REGISTRATION
Cape Securities, Inc.)	
CRD #7072,)	
)	
)	FILE NUMBER 13069
Respondent.)	
_____)	

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina has been authorized and directed by the Securities Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-1-101, *et seq.*, the South Carolina Uniform Securities Act of 2005 (the "Act"); and

WHEREAS, pursuant to S.C. Code Ann. § 35-1-412(f), the Securities Commissioner may summarily suspend a Respondent's registration before the final determination of an administrative proceeding; and

WHEREAS, upon due consideration of the subject matter contained in a related administrative proceeding under the Act having the same file number, **13069**, and having reason to believe that the Respondent violated certain provisions of the Act and the Rules promulgated thereunder in such a manner that Respondent's registration as a broker-dealer in the State of South Carolina should be revoked, the Securities Commissioner summarily suspended Respondent's broker-dealer registration in the State of South Carolina on August 29, 2013, at 11:27 a.m.; and

WHEREAS, following the receipt of the summary suspension of Respondent's broker-dealer registration the Respondent provided information and/or assurances sufficient to justify reinstating Respondent's registration as a broker-dealer in the State of South Carolina;

NOW THEREFORE, Cape Securities, Inc.'s broker-dealer registration in the State of South Carolina is hereby reinstated as of August 30, 2013, at 11:45 a.m.

By: Tracy Meyers
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