

ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	
)	
Foster Rogers Wealth)	ORDER REVOKING
Management Group, LLC)	REGISTRATION BY
(IARD #143610),)	DEFAULT AS TO RESPONDENT
Cody Rogers (CRD #3068373), and)	FOSTER ROGERS WEALTH
Hamilton Foster (CRD #4862999),)	MANAGEMENT GROUP
)	
<u>Respondents.</u>)	File Number 12078

The Securities Division of the Office of the Attorney General of the State of South Carolina (the “Division”) under the authority of the South Carolina Uniform Securities Act of 2005 (the “Act”), S.C. Code Ann. §§ 35-1-101 to 35-1-703 (Supp. 2012), instituted this proceeding by filing a Notice of Intent to Seek the Revocation of Investment Adviser and Investment Adviser Representative Registrations (“Notice of Intent”) against Foster Rogers Wealth Management Group, LLC (“Foster Rogers WMG”), Cody Rogers (“Rogers”), and Hamilton Foster (“Foster”).

In the Notice of Intent, the Division alleged that the Respondents provided false or misleading information to the Division, and impeded an audit or inspection. The Notice of Intent notified Foster Rogers WMG, Rogers, and Foster (collectively, the “Respondents”) of the Division’s intent to seek an order, pursuant to S.C. Code Ann. § 35-1-412, revoking Rogers’ and Foster’s registrations as investment adviser representatives, and Foster Rogers WMG’s registration as an investment adviser.

The Notice of Intent was served upon the Respondents at their respective last known addresses. Specifically, on or around April 2, 2013, the Division sent via certified mail, return

receipt requested, a copy of the Notice of Intent addressed to Respondent Foster Rogers WMG at 1910 East SE Loop 323, Suite 120, Tyler, Texas 75701. Thereafter, on or around April 6, 2013, the United States Postal Service delivered Foster Rogers WMG's mailed copy of the Notice of Intent. The Division did not receive a response to Foster Rogers WMG's Notice of Intent.

As a state registered investment adviser, Foster Rogers WMG provided the Division with its mailing address and is under a continuing obligation to update its registration information including the mailing address as changes occur.

By failing to respond to the Notice of Intent, or alternatively failing to update its address of record causing its failure to receive the Notice of Intent, Respondent Foster Rogers WMG has caused the Notice of Intent to go into default. By allowing the Notice of Intent to go into default, Respondent Foster Rogers WMG has opened itself up to the remedies sought in the Notice of Intent.

The Securities Commissioner finds that this order is in the public interest. Therefore, pursuant to S.C. Code Ann. § 35-1-412(d), Respondent Foster Rogers WMG's registration is hereby revoked.

IT IS THEREFORE ORDERED, that Respondent Foster Rogers WMG's registration as an investment adviser is hereby revoked.

Executed and entered, this the 13 day of June, 2013.

By: 
ALAN WILSON
Securities Commissioner
State of South Carolina
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Columbia, SC 29211
(803) 734-4731