

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

<b>IN THE MATTER OF:</b>	)	
	)	
<b>Sonos Capital Advisors, LLC</b>	)	<b>ORDER IMPOSING FINE</b>
<b>IARD# 150375,</b>	)	<b>FOR IMPEDING INVESTIGATION</b>
	)	
<b>RESPONDENT</b>	)	<b>File Number 14110</b>
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The Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division") under the authority of the South Carolina Uniform Securities Act of 2005 (the "Act"), S.C. Code Ann. §§ 35-1-101 to 35-1-703 (Supp. 2014), instituted this proceeding by filing a Rule to Show Cause ("Rule to Show Cause") against Sonos Capital Advisors, LLC ("Respondent" or "Sonos"), ordering Respondent to show cause why an order should not be issued against it, assessing a fine in an amount not to exceed \$10,000 against Respondent for impeding an inspection of Respondent pursuant to S.C. Code Ann. § 35-1-411(d).

The Rule to Show Cause was served on Respondent at its last known address. Specifically, on or around November 24, 2014, the Division sent via certified mail, return receipt requested, a copy of the Rule to Show Cause addressed to Mr. Blair Anderson, Individually and as Registered Agent of Sonos Capital Advisors, LLC, at 5826 Sumter Drive, Myrtle Beach, South Carolina 29577. Thereafter, on or around December 22, 2014, the United States Postal Service returned the Rule to Show Cause as unclaimed by the Respondent.

As a state registered investment adviser, Respondent provided the Division with its mailing address and is under a continuing obligation to update its registration information including the mailing address as changes occur.

By failing to respond to the Rule to Show Cause, or alternatively failing to update its address of record causing its failure to receive the Rule to Show Cause, Respondent has caused the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, Respondent has opened itself up to the remedy sought in the Rule to Show Cause. The remedy sought in the Rule to Show Cause was entry of an Order assessing a fine in an amount not to exceed \$10,000 against Respondent for impeding a Division inspection under S.C. Code Ann. § 35-1-411(d).

The Securities Commissioner finds that this order is in the public interest. **IT IS THEREFORE ORDERED**, pursuant to S.C. Code Ann. § 35-1-412(d), that Respondent pay a civil penalty of **TEN THOUSAND DOLLARS (\$10,000)** for impeding a Division inspection under S.C. Code Ann. § 35-1-411(d).

Executed and entered, this the 12<sup>th</sup> day of February, 2015.

By: Alan Wilson  
ALAN WILSON  
Securities Commissioner  
State of South Carolina  
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