



The Notice of Intent was served upon the Respondents at their respective last known addresses. Specifically, on or around April 2, 2013, the Division sent via certified mail, return receipt requested, a copy of the Notice of Intent addressed to Respondent Rogers at 1411 S. College Avenue, Tyler, Texas 75701. Thereafter, on or around May 6, 2013, Rogers' mailed copy of the Notice of Intent was returned to the Division unclaimed by Rogers.

As a state registered investment adviser representative, Rogers provided the Division with his mailing address and is under a continuing obligation to update his registration information including his mailing address as changes occur.

By failing to respond to the Notice of Intent, or alternatively failing to update his address of record causing his failure to receive the Notice of Intent, Respondent Rogers has caused the Notice of Intent to go into default. By allowing the Notice of Intent to go into default, Respondent Rogers has opened himself up to the remedies sought in the Notice of Intent.

The Securities Commissioner finds that this order is in the public interest. Therefore, pursuant to S.C. Code Ann. § 35-1-412(d), Respondent Rogers' registration is hereby revoked.

IT IS THEREFORE ORDERED, that Respondent Rogers' registration as an investment adviser representative is hereby revoked.

Executed and entered, this the 7<sup>th</sup> day of June, 2013.

By: Alan Wilson  
ALAN WILSON  
Securities Commissioner  
State of South Carolina  
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