# ADMINISTRATIVE PROCEEDING BEFORE THE SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:	)	
	)	ORDER TO CEASE AND DESIST
Adam Meister,	)	File No. 15054
	)	
	)	
Respondent.		

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division") has been authorized and directed by the Securities Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-1-101, et seq., the South Carolina Uniform Securities Act of 2005 (the "Act"); and

WHEREAS, the Division received information regarding securities-related activities of Adam Meister (the "Respondent"); and

WHEREAS, based on the information received, the Division decided it was necessary and appropriate to open an investigation pursuant to S.C. Code Ann. § 35-1-602 to determine whether the Respondent had violated, was violating, or was about to violate the Act; and

WHEREAS, in connection with the investigation, the Division has determined that evidence exists to support the following findings of fact and conclusions of law:

#### I. <u>JURISDICTION</u>

1. The Securities Commissioner has jurisdiction over this matter pursuant to S.C. Code Ann. § 35-1-601(a).

#### II. RESPONDENT

2. The Respondent is a Georgia resident with a last known address of 4588 Bedford Court, Evans, Georgia 30809.

#### III. FINDINGS OF FACT

- 3. During all times relevant to this order, the Respondent was a South Carolina resident.
- 4. From in or around August, 2012, through at least January, 2013, the Respondent was associated with Score Technologies, Inc. ("Score") in South Carolina.
- 5. Score is a Colorado corporation and, at all times relevant to this order, had its principal place of business located at 13 Bow Circle, Hilton Head, South Carolina 29928.
- 6. During the relevant time period, Score was issuing shares of its stock.
- 7. On or about October, 2012, the Respondent, on behalf of Score, offered and sold Score stock to Investor A, a South Carolina couple jointly.
- 8. The Respondent received a commission for the sale of stock to Investor A.
- 9. During the relevant time period, the Respondent was not registered as an agent and no exemption from registration has been claimed.

#### IV. CONCLUSIONS OF LAW

- 10. Pursuant to S.C. Code Ann. Section 35-1-102(2), an agent is an individual who represents an issuer in effecting or attempting to effect purchases or sales of the issuer's securities.
- 11. Pursuant to S.C. Code Ann. Section 35-1-402(a), it is unlawful for an individual to transact business in this State as an agent unless the individual is registered or exempt from registration.

12. On at least one occasion, the Respondent acted as an agent, in or from the State of South Carolina, while neither registered nor exempt from registration in violation of S.C. Code Ann. Section 35-1-402(a).

#### V. CEASE AND DESIST ORDER

NOW THEREFORE, pursuant to S.C. Code Ann. Section 35-1-604(a)(1), it is hereby ORDERED that:

- a. The Respondent **CEASE AND DESIST** from transacting business in this State in violation of the Act, and, in particular, Section 35-1-402 thereof; and
- b. The Respondent pay a civil penalty in the amount of \$5,000 if this Order becomes effective by operation of law, or, if the Respondent seeks a hearing and any legal authority resolves this matter, pay a civil penalty in an amount not to exceed \$10,000 for each violation of the Act by the Respondent, and the actual cost of the investigation and proceeding.

#### VI. REQUIREMENT OF ANSWER AND NOTICE OF OPPORTUNITY FOR HEARING

The Respondent is hereby notified that he has the right to a hearing on the matters contained herein. To schedule such a hearing, the Respondent must file with the Securities Division, Post Office Box 11549, Rembert C. Dennis Building, Columbia, South Carolina, 29211-1549, attention: Thresechia Navarro, within thirty (30) days after the date of service of this Order to Cease and Desist, a written Answer specifically requesting a hearing. If the Respondent requests a hearing, the Division, within fifteen (15) days after receipt of a request in a record from the Respondent, will schedule a hearing.

In his written Answer, the Respondent, in addition to requesting a hearing, shall admit or

deny each factual allegation in this Order, shall set forth specific facts on which the Respondent

relies, and shall set forth concisely the matters of law and affirmative defenses upon which the

Respondent relies. If the Respondent is without knowledge or information sufficient to form a

belief as to the truth of an allegation, he shall so state.

Failure by the Respondent to file a written request for a hearing in this matter within the

thirty-day (30) period stated above shall be deemed a waiver by the Respondent of the right to

such a hearing. Failure of the Respondent to file an Answer, including a request for a hearing,

shall result in this Order, including the stated civil penalty and any assessed costs, becoming

final as to the Respondent by operation of law.

This Order does not prevent the Division or any other law enforcement agency from

seeking additional civil or criminal remedies as are available under the Act, including remedies

related to the offers and sales of securities by the Respondent set forth above.

ENTERED, this the \_\_\_\_\_\_ day of July, 2015.

ALAN WILSON SECURITIES COMMISSIONER

By:

TRACY A. MEYERS

Deputy Securities Commissioner

ISSUANCE REQUESTED BY:

JORDAN CRAPPS

Assistant Attorney General

Securities Division

Rembert C. Dennis Building

1000 Assembly Street

Columbia, South Carolina 29201

## STATE OF SOUTH CAROLINA OFFICE OF THE ATTORNEY GENERAL SECURITIES DIVISION

### CERTIFICATE OF SERVICE AND AFFIDAVIT OF COMPLIANCE File Number 15054

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated July 17, 2015, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

Mr. Adam Meister 4588 Bedford Court Evans, Georgia 30809

Document(s): Order to Cease and Desist

Mailed July 21, 2015 from Columbia, South Carolina.

I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

Threspohia P. Navarro

South Carolina Attorney General's Office

Securities Division
Post Office Box 11549

Columbia, SC 29211-1549

(803) 734-4731

Subscribed and sworn to before me on this Z day of July , 2015.

Notary Public for South Carolina

My commission expires: 7/