

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)
)
THOMAS JOSEPH BUCK)
CRD # 1024868,)
)
 Respondent.)

**FINAL ORDER
File Number 15055**

The Securities Division of the Office of the Attorney General of the State of South Carolina (the “Division”) under the authority of the South Carolina Uniform Securities Act of 2005 (the “Act”), S.C. Code Ann. §§ 35-1-101 to 35-1-703 (Supp. 2014), instituted this proceeding by filing a Rule to Show Cause (“Rule to Show Cause”) against Thomas Joseph Buck (the “Respondent”), ordering the Respondent to show cause why an order should not be issued against him, barring the Respondent from the securities and investment advisory business in the State of South Carolina.

In the Rule to Show Cause, the Division alleged that the Financial Industry Regulatory Authority, a self-regulatory organization, expelled Respondent from membership. The Rule to Show Cause notified the Respondent of the Division’s intent to seek an order, pursuant to S.C. Code Ann. § 35-1-412, barring the Respondent from the securities and investment advisory business in the State of South Carolina.

Pursuant to the Act, the Rule to Show Cause was served on the Respondent by certified mail to his last known address on or around August 4, 2015. The Respondent has failed to respond to the Rule to Show Cause, causing the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, the Respondent has waived his right to a hearing on the matters therein.

The Securities Commissioner finds that this order is in the public interest.

THEREFORE IT IS HEREBY ORDERED that, pursuant to S.C. Code Ann. § 35-1-412(c), the Respondent is hereby permanently barred from engaging in the securities and investment advisory business in the State of South Carolina.

Executed and entered this the 12th day of November, 2015.

By: Alan Wilson
ALAN WILSON
Securities Commissioner
State of South Carolina
Post Office Box 11549
Columbia, SC 29211
(803) 734-4731