

III. FACTUAL ALLEGATIONS

4. Between September of 1992 and August of 2014, Matthew Robinson was registered with the Division as a broker-dealer agent.

5. During the time period relevant to these facts, Matthew Robinson was employed by the broker-dealer H. Beck, CRD# 1763 (“H. Beck”).

6. Between June of 1999 and August of 2014, Matthew Robinson was registered with the Division as an investment advisor representative through H. Beck.

7. On or about October 4, 2010, a federal tax lien (“Event 1”) was filed against Matthew Robinson by the Internal Revenue Service.

8. Matthew Robinson did not disclose Event 1 to H. Beck or to the Division.

9. On or about April 5, 2011, a state tax lien was filed against Matthew Robinson by the South Carolina Department of Revenue (“Event 2”).

10. Matthew Robinson did not disclose Event 2 to H. Beck or to the Division.

11. On or about April 26, 2012, Matthew Robinson was the subject of an adverse final judgment in a debt collection action filed in the Greenville County Court of Common Pleas and captioned *Navy Federal Credit Union v. Cristina G. Robinson and Matthew C. Robinson*, 2011-CP-2307407 (“Event 3”).

12. Subsequently, on or about December 12, 2012, an execution of the judgment in Event 3 was issued against Matthew Robinson’s property.

13. Matthew Robinson did not disclose Event 3 to H. Beck or to the Division.

14. On or about June 24, 2013, Matthew Robinson was the subject of an adverse final judgment in a foreclosure action filed in the Greenville County Court of Common Pleas and captioned *Nation Star Mortgage, LLC v. Matthew C. Robinson, et al.*, 2012-CP-23008055 (“Event 4”).

15. Matthew Robinson did not disclose Event 4 to H. Beck or to the Division.

16. Event 1, Event 2, Event 3, and Event 4 (collectively, the “Events”) were each required to be disclosed to H. Beck and to the Division.

17. During the period relevant to these facts, Eugene Robinson was branch manager for and supervisor of the H. Beck office in which Matthew Robinson was employed.

18. Eugene Robinson is the holder of a Series 24 General Securities Principal license issued by the Financial Industry Regulatory Authority.

19. Despite being aware of the Events, Eugene Robinson failed to ensure that Matthew Robinson disclosed the Events to H. Beck or to the Division.

20. On August 14, 2014, Matthew Robinson terminated his registrations as a broker-dealer agent and investment advisor representation with the Division.

IV. APPLICABLE LAW

21. Pursuant to S.C. Code Ann. Section 35-1-405(c), a person acting as a federal covered investment adviser, not excluded under S.C. Code Ann. Section 35-1-405(b), shall file a notice, a consent to service of process complying with S.C. Code Ann. Section 35-1-611, and such records as have been filed with the Securities and Exchange Commission under the Investment Advisers Act of 1940 required by rule adopted or order issued under the Act and pay the fees specified in S.C. Code Ann. Section 35-1-410(a).

22. Pursuant to S.C. Code Ann. Section 35-1-406(a), a person shall register as a broker-dealer, agent, investment adviser, and investment adviser representative by filing, among other things, an application. The application must contain the information or record required for the filing of a uniform application, and any other financial information or other record that the Commissioner deems appropriate and requests.

23. Pursuant to S.C. Code Ann. Section 35-1-406(b), if the information or record contained in an application filed under S.C. Code Ann. Section 35-1-406(a) is or becomes inaccurate or incomplete in a material respect, the registrant shall promptly file a correcting amendment.

24. Pursuant to S.C. Code Ann. Section 35-1-412(c), if the Commissioner finds that the order is in the public interest and S.C. Code Ann. Sections 35-1-412(d)(1) through (6), (8), (9), (10), or (12) and (13) authorizes the action, an order under the Act may censure, impose a bar, and/or impose a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, and, if the registrant is a broker-dealer or investment adviser, a partner, officer, director, or person having a similar status or performing similar functions, or a person directly or indirectly in control, of the broker-dealer or investment adviser.

25. Pursuant to S.C. Code Ann. Section 35-1-412(d)(1), a person may be disciplined under S.C. Code Ann. Sections 35-1-412(a) through (c) if the person has filed an application for registration in this State under the Act, or a predecessor to the Act, within the previous 10 years, which, as of the effective date of registration or as of any date after filing in the case of an order denying effectiveness, was incomplete in any material respect or contained a statement that, in light of the circumstances under which it was made, was false or misleading with respect to a material fact.

26. Pursuant to S.C. Code Ann. Section 35-1-412(d)(13), a person may be disciplined under S.C. Code Ann. Sections 35-1-412(a) through (c) if the person has engaged in dishonest or unethical practices in the securities, commodities, investment, franchise, banking, finance, or insurance business within the previous 10 years.

27. S.C. Code of Regulations Section 13-502(B) defines dishonest or unethical practices by investment advisers and investment adviser representatives to include engaging in other conduct such as non-disclosure, incomplete disclosure, or deceptive practices which shall also be grounds for denial, suspension or revocation of registration, imposition of administrative fines, or such other action authorized by statute.

28. S.C. Code of Regulations Section 13-501(C) defines dishonest or unethical practices by broker-dealers and agents to include engaging in other conduct such as non-disclosure, incomplete disclosure, or deceptive practices which shall also be grounds for denial, suspension or revocation of registration, imposition of administrative fines, or such other action authorized by statute.

29. Pursuant to S.C. Code Ann. Section 35-1-412(d)(9), a person may be disciplined under S.C. Code Ann. Sections 35-1-412(a) through (c) if the person failed to reasonably supervise an agent, investment advisor representative, or other individual, if the agent, investment advisor representative or other individual was subject to the person's supervision and committed a violation of the Act or a rule adopted or order issued under the Act within the previous ten (10) years.

V. CONCLUSION

30. Based on the foregoing facts and applicable law, Matthew Robinson should be censured and fined for his violations of the Act, as set forth above.

31. Based on the foregoing facts and applicable law, Eugene Robinson should be censured and fined for his violations of the Act, as set forth above.

NOW THEREFORE, it is hereby **ORDERED**, that the Respondents **SHOW CAUSE**, if any there be, why the Securities Commissioner should not, thirty (30) days after the issuance

of this Rule to Show Cause, enter an Order, pursuant to S.C. Code Ann. § 35-1-412(c), imposing the following sanctions on the Respondents:

- a. Censuring Respondent Matthew Robinson for his violations of the Act described above;
- b. Censuring Respondent Eugene Robinson for his violations of the Act described above;
- c. Imposing a fine of Ten Thousand Dollars (\$10,000) on Respondent Matthew Robinson;
- d. Imposing a fine of Ten Thousand Dollars (\$10,000) on Respondent Eugene Robinson;
- e. Suspending Respondent Matthew Robinson from engaging in the securities business in the State of South Carolina for a period of six (6) months; and
- f. Suspending Respondent Eugene Robinson from supervising any person engaged in the securities business in the State of South Carolina for a period of six (6) months.

VI. REQUIREMENT OF ANSWER AND NOTICE OF OPPORTUNITY FOR HEARING

The Respondents are hereby notified that each has thirty (30) days from the date of this Order to file an answer and, if desired, a request for a hearing on the matters contained herein. Such documents should be filed with Thresechia Navarro, Securities Division, Post Office Box 11549, Columbia, South Carolina 29211. In his written Answer, a Respondent shall admit or deny each factual allegation in this Order, and set forth any specific facts, laws, and/or affirmative defenses which the Respondent relies upon. If a Respondent is without knowledge or information sufficient to form a belief as to the truth of an allegation, he shall so state.

In the event an answer and written notice requesting a hearing is not received within the above-stated thirty (30) day period of time, an order imposing the sanctions set forth above shall be issued against the Respondents.

The Respondents are further notified that by imposing the sanctions set forth above, the Division is not waiving any rights it may have to pursue additional remedies available to it for violations of the Act committed by the Respondents.

ENTERED, this the 21st day of May, 2015.

ALAN WILSON
SECURITIES COMMISSIONER

By: Tracy Meyers
TRACY A. MEYERS
Deputy Securities Commissioner

ISSUANCE REQUESTED BY:



IAN P. WESCHLER
Assistant Attorney General
Securities Division
Rembert C. Dennis Building
1000 Assembly Street
Columbia, South Carolina 29201

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 14065

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated May 21, 2015, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

Matthew C. Robinson
15 Isbell Lane
Greenville, SC 29607

Certified Article Number

9314 7699 0430 0013 4416 51

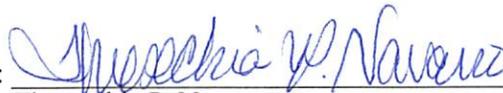
SENDERS RECORD

Document(s): Rule to Show Cause

Mailed May 21, 2015 from Columbia, South Carolina.

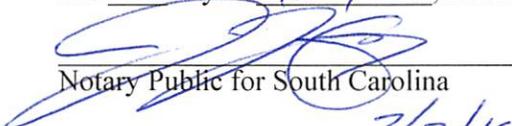
I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By:



Thereschia P. Navarro
South Carolina Attorney General's Office
Securities Division
Post Office Box 11549
Columbia, SC 29211-1549
(803) 734-4731

Subscribed and sworn to before me on
this 21 day of May, 2015.



Notary Public for South Carolina

My commission expires: 7/2/18

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 14065

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated May 21, 2015, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

Eugene C. Robinson
41 Hialeah Road
Greenville, SC 29607

Certified Article Number

9314 7659 0430 0013 4417 12

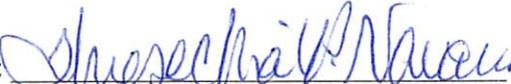
SENDERS RECORD

Document(s): Rule to Show Cause

Mailed May 21, 2015 from Columbia, South Carolina.

I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By:



Thresechia P. Navarro
South Carolina Attorney General's Office
Securities Division
Post Office Box 11549
Columbia, SC 29211-1549
(803) 734-4731

Subscribed and sworn to before me on
this 21 day of May, 2015.


Notary Public for South Carolina

My commission expires: 7/2/18