

- A. As a broker-dealer agent and/or an investment adviser representative registered in this State, Respondent has a duty to and agrees to maintain accurate and current contact information in records filed with the Division;
- B. Respondent will cooperate in a follow-up audit of her office, books and records; and
- C. Upon the Commissioner's execution of this Order, Respondent immediately shall pay the Division's costs of investigation.

Upon execution by the Commissioner, this Order resolves Administrative Proceeding 13005. The parties to this Order agree the Order does not and should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to any investor or investors as a result of the Respondent's activities described in the Notice of Intent in Administrative Proceeding 13005, (iii) action of any kind in any type of bankruptcy proceeding(s), or (iv) other causes of action which may result from any activity of the Respondents not detailed above or which may hereafter arise.

Upon execution of this Order and pursuant to S.C. Code Ann. § 35-1-408(e) Respondent is reinstated as an investment adviser representative and broker-dealer agent and said reinstatement is retroactive to the initial suspension date of February 21, 2013.

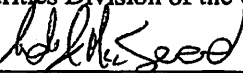
IT IS SO ORDERED this 2 day of July, 2013.

By: Alan Wilson
Alan Wilson
Securities Commissioner
State of South Carolina

[CONSENT SIGNATURE PAGE TO FOLLOW]

WE CONSENT:

Securities Division of the Office of the Attorney General

By: 

Andrew MacLeod
Assistant Attorney General
Securities Division

Susan Lockwood

By: 

Susan Lockwood